



## **Adult Social Care Trade Association meeting**

**Wednesday 28 September 2022,  
10-12:00**

# Agenda

No.	Agenda item	Lead	Time
1.	Welcome and Introductions (5 minutes)	Deanna Westwood, CQC Director of Operations – South Network	10.00
2.	Operational update (10 minutes)	Deanna Westwood, CQC Director of Operations – South Network	10:05
3.	Local Authority Assurance and provider feedback workshop (60 minutes)	Dave James, CQC Head of Adult Social Care Policy	10:15
	Short break (10 minutes)		11:15
4.	Publishing findings from inspections (25 minutes)	Owen Griffiths, CQC Public Information Manager	11:25
5.	AOB (10 minutes)	All	11:50
6.	<b>Close</b>	All	12:00

## Operational update

Deanna Westwood, Director of Operations – South Network

# Inspection update

**22,544** inspections undertaken 16 March 2020 as at 26 September 2022

**17,178** inspections undertaken since 16 March for locations in Adult Social Care services in this period

**10,265** inspections with IPC form (IPC Findings ) from 1 July 2020 to 21 September 2022

Please note: The IPC data is only reportable from 1 December 2021.



**Risk and Rate  
wherever  
possible**

- Responding to risk
- Focused inspections
- Inadequate and Requires Improvement services
- Registered and unrated services

## Reviewing our timeline

- Thank you for your involvement in our transformation, including the single assessment framework
- We are using what we've learnt from the work so far to ensure that our plan is achievable and reliable
- We will share a fully revised schedule for implementing the changes soon
- Short statement appears on our [website](#)

## **Local Authority assurance and provider feedback workshop**

Dave James, Head of Adult Social Care Policy

# Workshop agenda

1. CQC presentation on local authority assurance (15 minutes)
2. Workshop discussion (35 minutes)
3. Summary of discussion and next steps (5 minutes)

# Local authority assurance update

CQC's role in local authority assurance

## System oversight in CQC context

- New powers in Health and Care Act – for local authority assurance and integrated care system assessment
- Strategic focus: How well are local authorities delivering against their Care Act duties?
- Connects with wider programme of regulatory change in CQC
  - Single assessment framework across providers and systems
  - New ways of team working
  - Reporting and rating in a timely and responsive way

# How it fits together: system context

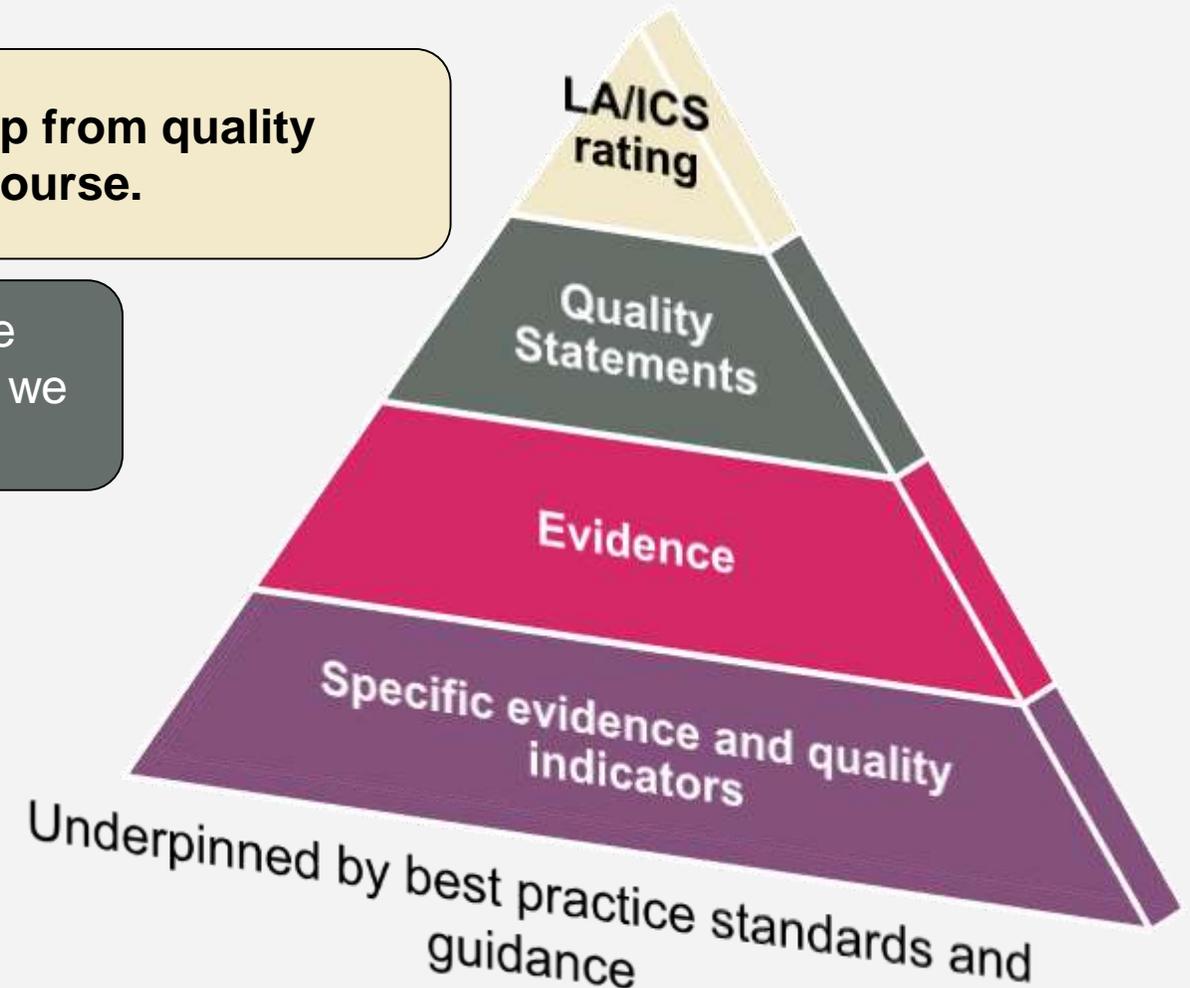
Our framework will assess local authorities and integrated care systems in a way consistent with how we assess providers - but tailored to their context

**An overall assessment of LA/ICS level, built up from quality statements; we expect to rate in due course.**

Subset of quality statements from across the single assessment framework; the standards against which we hold providers, LAs and ICSs to account

People's experience, feedback from staff and leaders, feedback from partners, observation, processes, outcomes

Data and information specific to the scope of assessment, delivery model or population group



# Quality statements: local authority assessment

## Theme 1: Working with people

- Assessing needs
- Supporting people to live healthier lives

## Theme 2: Providing support

- Care provision, integration and continuity
- Partnerships and communities

## Theme 3: Ensuring safety

- Safe systems, pathways and transitions
- Safeguarding

## Theme 4: Leadership and workforce

- Governance, management and sustainability
- Learning, improvement and innovation

# What we've heard so far

- Partnership working
- Skills and capability
- Understanding systems
- Addressing inequalities
- Ratings
- Data
- Proportionate regulation
- Interaction with provider rating

# Test and learn – common themes

## **Evidence gathering approaches**

- We can effectively apply a blended approach of virtual and on-site activity for the baselining period for both local authority and ICS assessments

## **Quality assurance, reporting and making judgements**

- Easier to reach judgements and score evidence for local authority reports because of alignment to the Care Act. ICSs were more challenging; newly established, broader in scope, and have data limitations
- Exploring approaches to follow-up, supporting improvement and spreading innovation

## **Planning approaches, resource requirements**

- Sequencing of local authority assurance and ICS is necessary to minimise asks of stakeholders, but can be complex
- Developing principles to support the scheduling of this work

# Workshop discussion

## Discussion slide 1

Q - How can CQC best gather the provider experience of working with the local authority?

Q - How will providers feedback when they are working across various local authority areas?

## Discussion slide 2

Q - How might providers' advocacy for the people they support feed into the new local authority assessment ?

Q - What can member organisations do to support sharing feedback of both provider and people's experiences?

# Next steps

- CQC executive team sign off methodology (October)
- Ongoing coproduction and engagement
  - Further development, system building
- Recruitment and training
- Start reviews from April 2023
  - Baselining period of initial assessments of all ICSs and local authorities

**Thank you for attending**

Next meeting, Wednesday 26 October 10 – 12.